



# **Yvonne Choquet-Bruhat: a Mathematician in Einstein's Universe**

**Thibault Damour**  
Institut des Hautes Etudes Scientifiques

**Online event to celebrate  
Yvonne Choquet-Bruhat's 100th Birthday,  
International Society on General Relativity and Gravitation  
January 24, 2024 via Zoom**

# **Brief introduction to a few of YCB's many fundamental contributions to both the mathematical and the physical understanding of Einstein's theory of gravitation**

3+1 decomposition;

Solving the constraints of the Cauchy problem;

« Stability of flat space »;

Positivity of mass in a neighborhood of Minkowski space;

New formulations of Einstein's equations which were important ingredients for being able to numerically simulate the motion and gravitational radiation of coalescing binary black holes.

# A few words on YCB's early career

**A « failed » physicist who constantly aimed at understanding the real universe through its theoretical physics description, by using, and perfecting, mathematical tools.**

**Father: Georges Bruhat, a physicist famous for his contributions to optics and for the many high-level physics text books he wrote**

« In a sense my father had a significant influence on me, though it must be said that he had more interest in my brother [the mathematician François Bruhat]. He did not think I could become a renowned scientist. He thought I would become a good mother, and a gymnasium teacher. »

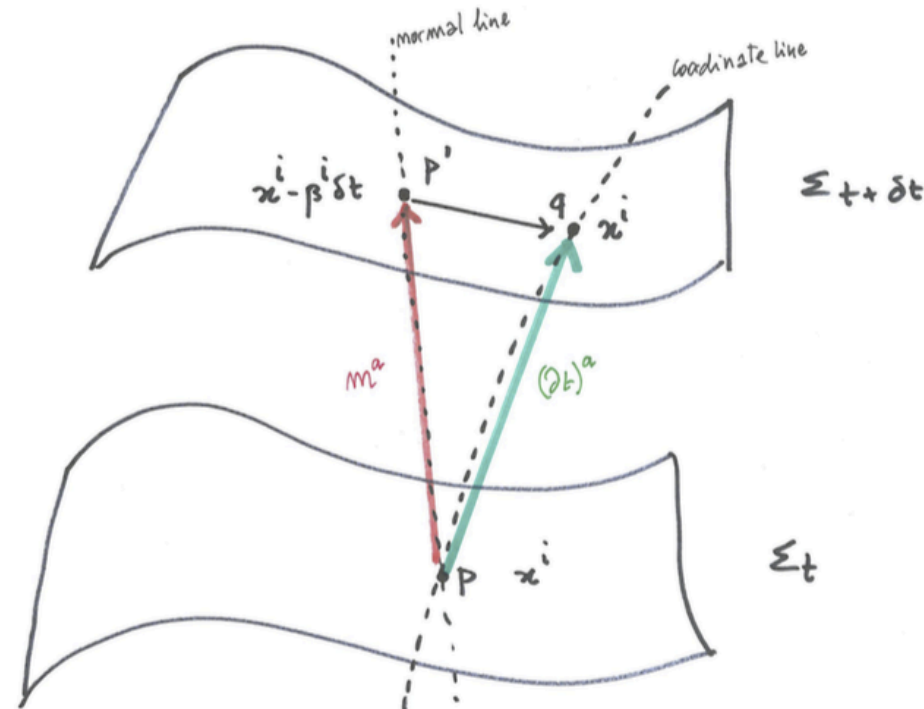
**Mentors: Jean Leray and André Lichnerowicz**

Upon a suggestion of **Jean Leray**, she chooses her main PhD thesis topic: to give the first mathematical proof of the existence (and well-posedness) of generic (non analytic) solutions of Einstein's vacuum field equations.

Reaction of her thesis advisor (**André Lichnerowicz**): « This is too difficult for a beginner. » However, Jean Leray encouraged, and helped, her.

# 3+1 decomposition of spacetime

slicing by  
a 1-parameter  
family of  
spacelike  
(Cauchy)  
surfaces



$$g = -(N^2 - N_i N^i) dt \otimes dt + N_i (dx^i \otimes dt + dt \otimes dx^i) + h_{ij} dx^i \otimes dx^j,$$

lapse  $N$  or  $\alpha$

shift  $N_i$  or  $\beta^i$

3-metric  $h_{ij}$ ,  $\gamma_{ij}$  or  $\bar{g}_{ij}$

$$ds^2 = -\alpha^2 dt^2 + \gamma_{ij} (dx^i + \beta^i dt)(dx^j + \beta^j dt)$$

# CRAS 1948 and Journal of Rational Mechanics and Analysis 1956

## *Sur L'Intégration des Équations de la Relativité Générale*

MADAME YVONNE FOURÈS-BRUHAT

*Mémoire transmis par A. LICHNEROWICZ*

$$\omega_4 = V dx^4,$$

$$\omega_i = a_{ij} dx^j + \lambda_i dx^4,$$

$$V^2 = \frac{1}{g^{44}} = \frac{g}{\bar{g}}.$$

5. Calcul des composantes du tenseur de Ricci: De l'expression du tenseur de Riemann on déduit après contraction:  $R_{\alpha\beta} = -R_{\alpha\lambda\beta\lambda}$

$$R_{i4} = \bar{R}_{i4} - \frac{\bar{\nabla}_\lambda(\partial_i V)}{V} - PP_{i4} - (\bar{Q}_{i4}P_{i4} + Q_{4i}P_{i4}) + \partial_4 P_{i4}$$

$$R_{44} = \bar{\nabla}_\lambda P - \bar{\nabla}_\lambda P_{\lambda 4}$$

$$R_{44} = -\frac{\bar{\nabla}_\lambda(\partial_\lambda V)}{V} - P_{i4}P_{i4} + \partial_4 P.$$

**First, general 3+1 decomposition  
of Einstein's equations with  
lapse (V) and shift (lambda\_i)  
before ADM's work**

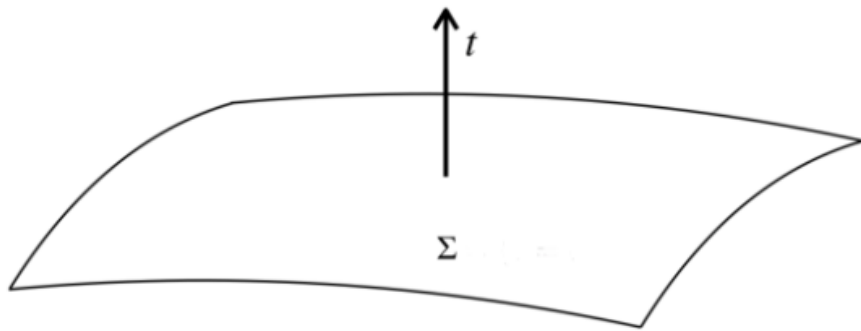
**Darmois (1927) had only  
considered the case of  
unit lapse and  
zero shift**

**And first discussion of methods  
for constructing general solutions  
of the initial-value constraints.**

**The beginning of a long, and  
important line of work**

**Lichnerowicz (1944)  
had considered  
less general cases**

# The problem of constraints on $\gamma_{ij}$ and $K_{ij}$



$$R_\gamma - K_i^j K_j^i + (K_s^s)^2 = 2\rho$$

$$\nabla_j K_i^j - \nabla_i K_s^s = J_i$$

**First and  
second  
fundamental  
forms**

**Darmois 1927**

7. Indiquons la forme analytique des résultats. Posons

$$\Omega_{ik} = -\frac{1}{2} \frac{\partial g_{ik}}{\partial x_4}, \quad g^{44} = g_{44} = +1.$$

Désignons par  $r_{ik}$  le tenseur analogue à  $R_{ik}$  de la variété  $S$ , par  $[A_{ik}]_e$  (crochet) la dérivation covariante dans  $S$ . On a

$$(8') \quad R_{ik} = \frac{\partial \Omega_{ik}}{\partial x_4} + 2 g^{mn} \Omega_{in} \Omega_{kn} - \Omega_{ik} (g^{uv} \Omega_{uv}) + r_{ik} = 0,$$

$$(9') \quad R_{i4} = g^{hk} [\Omega_{ik}]_h - g^{uv} [\Omega_{uv}]_i = 0.$$

$$(10') \quad R_{44} = g^{uv} \left( \frac{\partial \Omega_{uv}}{\partial x_4} + g^{ik} \Omega_{iv} \Omega_{ku} \right) = 0.$$

Des quatre conditions à vérifier, trois sont formées par (9'). La dernière résulte de la substitution des valeurs (8'). Si l'on forme  $R - 2R_{44}$ , on a

$$(11) \quad R - 2R_{44} = r - g^{ik,mn} \Omega_{ik,mn} = 0,$$

$r$  est la courbure scalaire de  $S$ .

$$g^{ik,mn} = g^{im} g^{kn} - g^{in} g^{km},$$

$$\Omega_{ik,mn} = \Omega_{im} \Omega_{kn} - \Omega_{in} \Omega_{km}.$$

# Early history on solving the constraints (**cf YCB 2014**)

$$\bar{g}_{ij} = \varphi^4 \gamma_{ij} \quad \tilde{K}_{ij} = \varphi^2 (K_{ij} - \frac{1}{3} \bar{g}_{ij} \tau), \quad \tau := \bar{g}^{ij} K_{ij}.$$

Racine 1934  
Lichnerowicz 1944

$$D_i \tilde{K}^{ij} = \frac{2}{3} \varphi^6 \gamma^{ij} \partial_i \tau + \varphi^{10} J^j,$$

$$8 \Delta_\gamma \varphi - R(\gamma) \varphi + |\tilde{K}|_\gamma^2 \varphi^{-7} + (\rho - \frac{2}{3} \tau^2) \varphi^5 = 0$$

Racine 1934: if  $g_{ij}$  is conformally flat and maximal ( $\text{tr } K_{ij}=0$ )

$$\bar{g}_{ij} = \varphi^4 \delta_{ij}$$

$$\text{tr } K = K^i_i = 0$$

$$\partial_j \tilde{K}^{ij} = 0$$

$$\Delta \varphi = - \frac{\tilde{K}^{ij} \tilde{K}_{ij}}{8 \varphi^7}$$

YCB 1961: **Elliptic system** in harmonic coordinates for  $g^{00}$  and  $g^{0i}$

Vaillant-Simon 1969 **constructs solutions** near flat spacetime

York 1972, 1974 general solutions of the momentum constraint

YCB 1974 asympt flat solutions of Hamiltonian constr in Hölder spaces, later improved using weighted Sobolev spaces. [see her **2009 book OUP**]

# Beginnings of the Cauchy problem.

Yvonne Choquet-Bruhat

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**1410.3490 [grqc]**



# « Stability of flat space » (YCB+Deser 1973)

## On the Stability of Flat Space

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AND

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Received December 14, 1972

It is shown that: (1) there exists, near flat space, a neighborhood of nonsingular asymptotically flat weak field solutions of the initial value equations of General Relativity; the solutions have physically appropriate generality; and (2) this neighborhood is complete and flat space is stable; every geometry representing a weak perturbation and satisfying the varied constraints is tangent to the space of solutions.

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## YCB+Deser 1973

We shall deal primarily with the simplest problem, in which the base geometry is the classical vacuum: flat space on  $R^3$ . It will be shown rigorously that near it, there *exists a complete neighborhood* of nonflat weak excitations, with physically interesting asymptotic behavior. These solutions have the generality to be expected, on physical grounds, of a massless tensor field. Vacuum is also *stable*: every perturbation satisfying the varied constraints is tangent to some curve of solutions lying in the neighborhood of flat space. This comparison provides a measure of the completeness of solution space with respect to that of allowed variations.

# Positive mass results

**1976** YCB+Marsden: First rigorous proof of positivity of mass for vacuum spacetimes near Minkowski, following Brill-Deser 1968, and using a critical point analysis in infinite dimensions

## **Solution of the Local Mass Problem in General Relativity**

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**Abstract.** The local mass problem is solved. That is, in suitable function spaces, it is shown that for any vacuum space-time near flat space, its mass  $m$  is strictly positive. The relationship to other work in the field and some discussion of the global problem is given. Our proof is, in effect, a version of critical point analysis in infinite dimensions, but detailed  $L^p$  and Sobolev-type estimates are needed for the precise proof, as well as careful attention to the coordinate invariance group. For the latter, we prove a suitable slice theorem based on the use of harmonic coordinates.

**2011** simplified spinorial proof (à la Witten) of energy positivity, and mass positivity ( $E > |P|$ ), in any dimension

## Problems with Using the 3+1 Formulation (YCB 1956, ADM 1962) for Solving Einstein Eqs.

$$g = -(N^2 - N_i N^i) dt \otimes dt + N_i (dx^i \otimes dt + dt \otimes dx^i) + h_{ij} dx^i \otimes dx^j,$$

First-order evolution system for  $h_{ij}$  and  $K_{ij}$ , given the lapse  $N$  and the shift  $N_i$  :

$$\begin{aligned} \frac{\partial h_{ij}}{\partial t} &= -2NK_{ij} + N_{i|j} + N_{j|i}, \\ \frac{\partial K_{ij}}{\partial t} &= -N_{|ij} + N \left[ {}^{(3)}R_{ij} + K_{ij}(\text{tr} K) - 2K_{im}K_j{}^m \right] \\ &\quad + \left[ N^m K_{ij|m} + N^m_{|i} K_{jm} + N^m_{|j} K_{im} \right], \end{aligned}$$

It was initially thought in Numerical Relativity that one could directly use such an evolution system. However, it led to many **numerical instabilities** when used as such.

Mathematically, it was on the contrary assumed to be « bad », i.e., non hyperbolic. However, YCB 2009 OUP showed that it was **Leray-Ohya hyperbolic** (« hyperbolique non strict ») , which ensures good causality properties (domain of dependence), albeit within non Sobolev-type functional spaces: Gevrey classes of non-analytic, but smooth functions.

# 1982-3 First (3+1)-type Hyperbolic Systems for Einstein's Eqs (YCB+ Ruggeri)

## Hyperbolicity of the 3+1 System of Einstein Equations

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**Abstract.** By a suitable choice of the lapse, which in a natural way is connected to the space metric, we obtain a hyperbolic system from the 3+1 system of Einstein equations with zero shift; this is accomplished by combining the evolution equations with the constraints.

$$\frac{N}{\sqrt{h}} = \text{cst} \text{ i.e. } g^{\mu\nu} \Gamma_{\mu\nu}^0 = 0; \text{ and } N_i = 0$$

**harmonicity  
of the time  
coordinate**

**Later YCB+York 1996; generalized it to the non-zero shift case**

# YCB+ Ruggeri's 1983 3+1 hyperbolic system

$$\frac{N}{\sqrt{h}} = \text{cst i.e. } g^{\mu\nu} \Gamma_{\mu\nu}^0 = 0; \text{ and } N_i = 0$$

$$a_i = \partial_i(\log[g^{1/2}])$$

$$\dot{g}_{ij} = -2k_{ij},$$

$$\begin{aligned} & \square k_{ij} + 3k_{h(i}R_{j)}^h - 2R_i^h{}^j{}^m k_{hm} + 2kR_{ij} - 2a_{(i}D_{j)}k - 4kD_i a_j \\ & - k_{h(i}D_{j)}a^h + 2a^h D_{(i}k_{j)h} - a^h D_h k_{ij} - 2a^h D_{(i}k_{j)h} - 3ka_i a_j \\ & - 4\alpha^{-2} k_{ih} k_{jm} k^{hm} \end{aligned}$$

Later YCB+York 1996; generalized it to the non-zero shift case

**descendants of these 3+1 hyperbolic systems  
have been used in Numerical Simulations of  
coalescing binary black holes**

# Descendants of YCB-Ruggeri 3+1 hyperbolic systems used in NR

time-harmonic slicing

$$(\partial_t - \mathcal{L}_\beta)\alpha = -\alpha^2 K$$

Bona-Masso 1992

1+ log gamma slicing

$$(\partial_t - \mathcal{L}_\beta)\alpha = -\frac{2}{\alpha}K$$

minimal-distorsion-like spatial  
gauges `a la Smarr-York 1978

Gamma-driver eqs of the shift  
(van Meter+ 06, Gundlach+ 06)

conformal metric

$$\partial_t \beta^i - \beta^j \partial_j \beta^i = \mu_S \tilde{\gamma}^{jk} \tilde{\Gamma}_{jk}^i - \eta \beta^i$$

**BSSN formulation:** Shibata-Nakamura 1995; Baumgarte-Shapiro 1998

**Punctures:** Brandt-Bruegmann 1997 represents black holes by punctures  
Brill-Lindquist(1963)-like + Bowen-York (1980) initial data

**moving punctures:** Campanelli-Lousto-Maronetti-Zlochower 2006,  
Baker-Centrella-Choi-Koppitz-vanMeter 2006

# Descendants of YCB 1952 harmonic-coords hyperbolic system used in NR

harmonic coordinates condition:  $C_a^{(0)} \equiv -g_{ab} \square x^b = 0$

reduced  
Einstein eqs:  $\frac{1}{2} g^{cd} g_{ab,cd} + g^{cd} ({}_{,a} g_b)_{d,c} + \Gamma_{bd}^c \Gamma_{ac}^d = -8\pi \left( T_{ab} - \frac{1}{2} g_{ab} T \right)$

propagation of  
harmonic cond.  $\square C_{(0)}^a = -R^a_b C_{(0)}^b$

**generalized harmonic condition**  
(Garfinkle 2002, Friedrich 2002)

**constraint damping terms**  
(Gundlach+ 2005, Pretorius 2005,  
Lindblom et al...)

$$C_a \equiv g_{ab} (H^a - \square x^a) = 0.$$

with kappa > 0

$$\begin{aligned} & \frac{1}{2} g^{cd} g_{ab,cd} + \\ & g^{cd} ({}_{,a} g_b)_{d,c} + H_{(a,b)} - H_d \Gamma_{ab}^d + \Gamma_{bd}^c \Gamma_{ac}^d \\ & + \kappa [n_{(a} C_{b)} - \frac{1}{2} g_{ab} n^d C_d] \end{aligned}$$

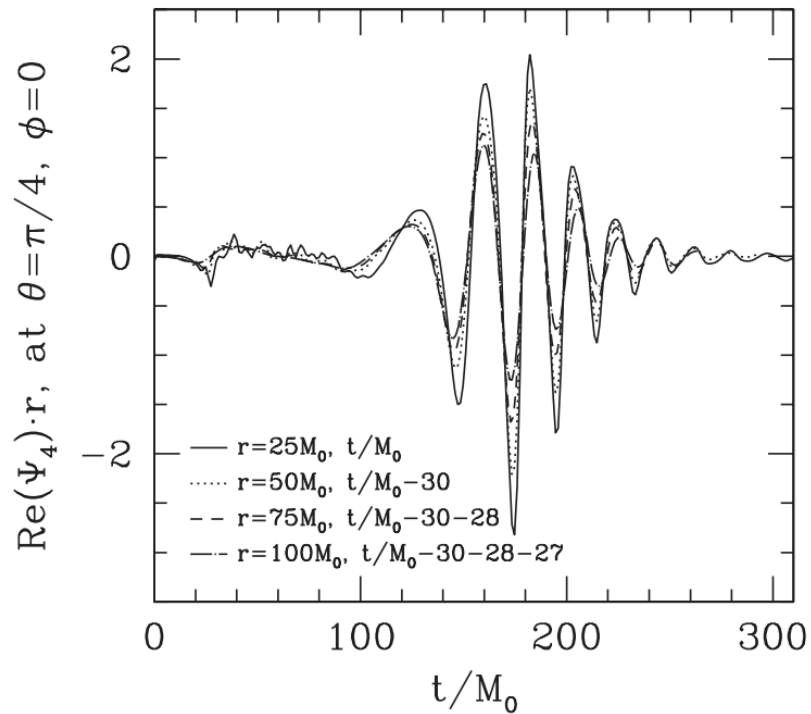
$$\square C^a = -R^a_b C^b + 2\kappa \nabla_b [n^{(b} C^{a)}]$$

$$= -8\pi \left( T_{ab} - \frac{1}{2} g_{ab} T \right)$$



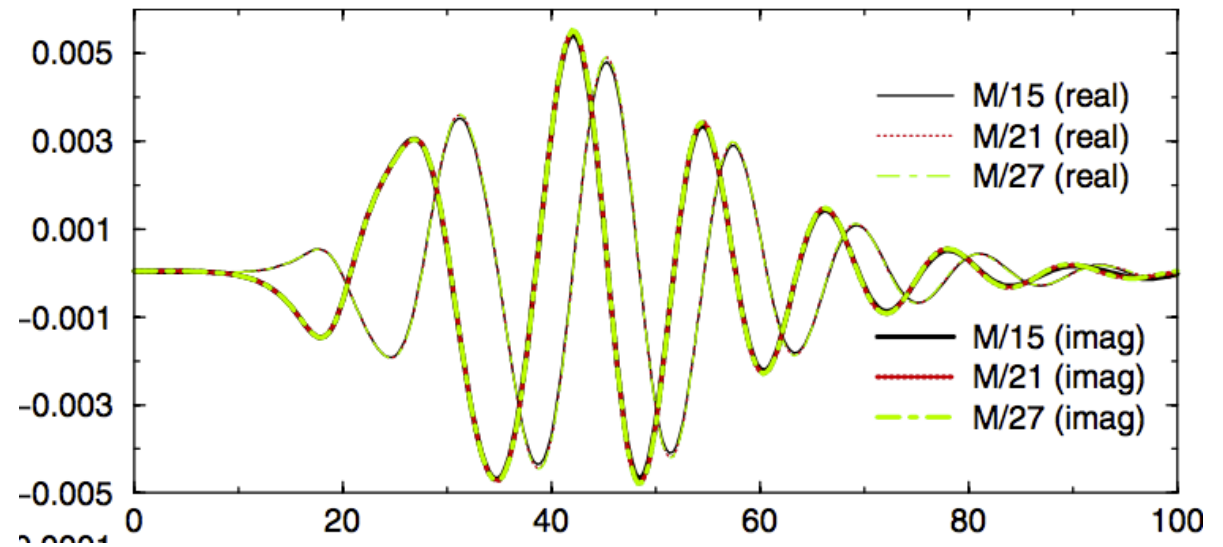
# First successful numerical simulations of coalescing BBH

Pretorius 2005



using generalized harmonic  
with constraint damping

Campanelli + 2006



using BSSN with 1+log,  
gamma driver and  
moving punctures



**Besides her many fundamental research contributions YCB has had a deep impact through the writing of many information-laden, influential books**

